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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Ballou et al.
Application No.:	Not yet assigned
Filed:	Concurrently herewith
For:	Flexible Metal Wire Stent
Group Art Unit:	Not yet assigned

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Docket No.: S63.2N-6072-US03

ASSIGNEE'S STATEMENT OF OWNERSHIP 37 CFR 3.73(B)

Boston Scientific Corporation, a corporation is the assignee of the entire right, title and interest in the patent application identified above by virtue of a chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

From : Kurt Ballou, George T. Roberts, Kristian J. Dimatteo and Adrian C. Ravenscroft
To: Boston Scientific Corporation

The document was recorded in the Patent and Trademark Office at Reel 9110, Frames 0347-0351, or for which a copy thereof is attached.

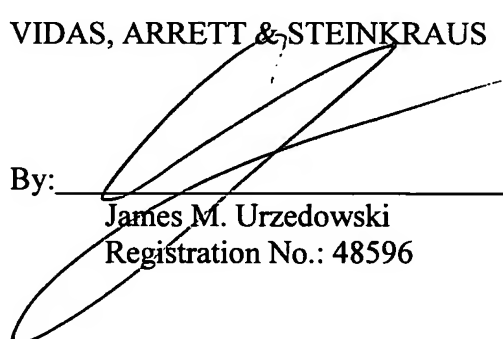
Copies of assignments or other documents in the chain of title are attached.

The undersigned is empowered to sign this statement of ownership certificate on behalf of the assignee.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: April 19, 2004

By: 
James M. Urzedowski
Registration No.: 48596

6109 Blue Circle Drive, Suite 2000
Minnetonka, MN 55343-9185
Telephone: (952) 563-3000
Facsimile: (952) 563-3001
f:\wpwork\jmu\06072us03_sta_20040419.doc

UTILITY/DESIGN PATENT

Docket No. S63.2-6072

DECLARATION

As a below-named inventor, I(we) hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type:

- ☒ original
- ☐ design
- ☐ supplemental
- ☐ national stage of PCT
- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part (CIP)

INVENTORSHIP DECLARATION

My residence, post office address, and citizenship are as stated below next to my name;

I verily believe I am the original, first and sole inventor (*if only one name is listed below*) or an original, first and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FLEXIBLE METAL WIRE STENT

the specification of which

- a) ☐ is being filed concurrently herewith
- b) ☒ was filed on October 1, 1997 and assigned Serial No. 08/942,162
- c) ☐ was filed as PCT International Application No. _____ filed on _____ and amended under PCT Article 19 on _____.

ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56 including information occurring between the filing date of any prior application of which the present application is a continuation-in-part.

- ☐ In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.97.

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d), of any foreign application(s) for patent or inventor's certificate or of any PCT international applications(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international applications(s) designating at least one country other than the United States of America filed by me having the same subject matter having a filing date before that of the application on which priority is claimed.

- a) ☒ no such applications have been filed.
b) ☐ such applications have been filed as follows:

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35 United States Code, §119(e) of any United States provisional application identified below.

- a) ☒ no such applications have been filed.
b) ☐ such applications have been filed as follows:

U.S. APPLICATIONS	
SERIAL NUMBER	U.S. FILING DATE
1.	
2.	

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. §120

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) or PCT international applications(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior applications(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior applications(s) and the national or PCT international filing date of this application.

- a) ☒ no such applications have been filed.
b) ☐ such applications have been filed as follows.

U.S. APPLICATIONS	
SERIAL NUMBER	U.S. FILING DATE
1.	
2.	
PCT APPLICATIONS DESIGNATING THE U.S.	
PCT APPLICATION NO.	PCT FILING DATE
3.	

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Telephone calls and correspondence should be directed to: Oliver F. Arrett, VIDAS, ARRETT

First Inventor

Full name: Kurt Ballou
Inventor's signature: Kurt Ballou
Date: 3/23/98
Citizenship: United States Of America
Post office Address: 22 Woburn Street
Andover, MA 01810
Residence: 30 Blaine St.
(If different than above) Allston MA
02134

Third Inventor

Full name: Kristian J. DiMatteo
Inventor's signature: _____
Date: _____
Citizenship: United States of America
Post office Address: 176A Main Street
Maynard, MA 01754
Residence:
(If different than above)

Second Inventor

Full name: George T. Roberts
Inventor's signature: _____
Date: _____
Citizenship: United States of America
Post office Address: 128 Pollard Road
Mountain Lakes, NJ 07046
Residence:
(If different than above)

Fourth Inventor

Full name: Adrian C. Ravenscroft
Inventor's signature: _____
Date: _____
Citizenship: Great Britain
Post office Address: 33 Buckingham Road
Milton, MA 02186
Residence:
(If different than above)

First Inventor

Full name: Kurt Ballou

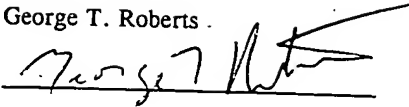
Inventor's signature: _____

Date: _____

Citizenship: United States Of America

Post office Address: 22 Woburn Street
Andover, MA 01810Residence:
(If different than above)**Second Inventor**

Full name: George T. Roberts

Inventor's signature:  _____

Date: 3/30/98

Citizenship: United States of America

Post office Address: 128 Pollard Road
Mountain Lakes, NJ 07046Residence:
(If different than above)**Third Inventor**

Full name: Kristian J. DiMatteo

Inventor's signature: _____

Date: _____

Citizenship: United States of America

Post office Address: 176A Main Street
Maynard, MA 01754Residence:
(If different than above)**Fourth Inventor**

Full name: Adrian C. Ravenscroft

Inventor's signature: _____

Date: _____

Citizenship: Great Britain

Post office Address: 33 Buckingham Road
Milton, MA 02186Residence:
(If different than above)

First Inventor

Full name: Kurt Ballou

Inventor's signature: _____

Date: _____

Citizenship: United States Of America

Post office Address: 22 Woburn Street
Andover, MA 01810Residence:
(If different than above)**Second Inventor**

Full name: George T. Roberts

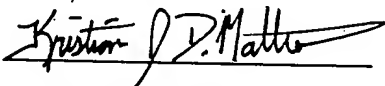
Inventor's signature: _____

Date: _____

Citizenship: United States of America

Post office Address: 128 Pollard Road
Mountain Lakes, NJ 07046Residence:
(If different than above)**Third Inventor**

Full name: Kristian J. DiMatteo

Inventor's signature:  _____

Date: 3-23-98

Citizenship: United States of America

Post office Address: 176A Main Street
Maynard, MA 01754Residence:
(If different than above)**Fourth Inventor**

Full name: Adrian C. Ravenscroft

Inventor's signature: _____

Date: _____

Citizenship: Great Britain

Post office Address: 33 Buckingham Road
Milton, MA 02186Residence:
(If different than above)

First Inventor

Full name: Kurt Ballou

Inventor's signature: _____

Date: _____

Citizenship: United States Of America

Post office Address: 22 Woburn Street
Andover, MA 01810Residence:
(If different than above)**Second Inventor**

Full name: George T. Roberts

Inventor's signature: _____

Date: _____

Citizenship: United States of America

Post office Address: 128 Pollard Road
Mountain Lakes, NJ 07046Residence:
(If different than above)**Third Inventor**

Full name: Kristian J. DiMatteo

Inventor's signature: _____

Date: _____

Citizenship: United States of America

Post office Address: 176A Main Street
Maynard, MA 01754Residence:
(If different than above)**Fourth Inventor**

Full name: Adrian C. Ravenscroft

Inventor's signature: Adrian C. RavenscroftDate: 3/24/98

Citizenship: Great Britain

Post office Address: 33 Buckingham Road
Milton, MA 02186Residence:
(If different than above)

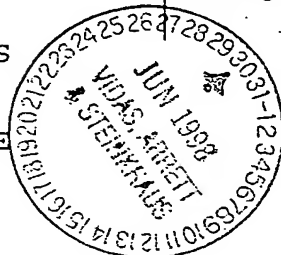


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 16, 1998

PTAS

VIDAS, ARRETT & STEINKRAUS, P.A.
OLIVER F. ARRETT
SUITE 2000, 6109 BLUE CIRCLE DRIVE
MINNETONKA, MN 55343-9131



100693674A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 04/13/1998

REEL/FRAME: 9110/0347
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BALLOU, KURT

DOC DATE: 03/23/1998

ASSIGNOR:

ROBERTS, GEORGE T.

DOC DATE: 03/30/1998

ASSIGNOR:

DIMATTEO, KRISTIAN J.

DOC DATE: 03/23/1998

ASSIGNOR:

RAVENSCROFT, ADRIAN C.

DOC DATE: 03/24/1998

ASSIGNEE:

BOSTON SCIENTIFIC CORPORATION
ONE BOSTON SCIENTIFIC PLACE
NATICK, MASSACHUSETTS 01760-1537

SERIAL NUMBER: 08942162

FILING DATE: 10/01/1997

PATENT NUMBER:

ISSUE DATE:

162 77822

9110/0347 -PAGE 2

SHARMALLA SIMPSON, EXAMINER
ASSIGNMENT DIVISION
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04-24-1998



100693674

U.S. DEPARTMENT OF COMMERCE
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PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Kurt Ballou; George T. Roberts; Kristian J.
DiMatteo; Adrian C. Ravenscroft
Additional name(s) of conveying party(ies)
attached? ☐ yes ☒ no2. Name and address of receiving party(ies):
Name: **Boston Scientific Corporation**
Internal Address:Street Address: **One Boston Scientific Place, Natick, MA 01760-1537**3. Nature of Conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____
Execution Date: 3/23/1998; 3/24/1998; 3/30/1998

City _____ State _____ Zip _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No4. Application number(s) or patent number(s): 08/942,162
If this document is being filed with a new application, the execution date of the application is:A. Patent Application No.(s)
08/942,162

B. Patent No.(s)

Additional numbers attached? ☐ yes ☒ no5. Name and address of party to whom
correspondence concerning document should be
mailed:**Oliver F. Arrett**
Vidas, Arrett & Steinkraus, P.A.
Suite 2000, 6109 Blue Circle Drive
Minnnetonka, MN 55343-91316. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$160.00☒ Enclosed☐ Authorized to be charged to deposit account8. Deposit Account Number: 22-0350
(Attach duplicate of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Oliver F. Arrett**

Signature

4/7/98
DateTotal number of pages including cover sheet, attachments, and document: 6

OMB No. 0651-011 (exp. 4/94)

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UTILITY/DESIGN PATENT

Docket No. S63.2-6072

ASSIGNMENT

WHEREAS, I(we), Kurt Ballou; George T. Roberts; Kristian J. DiMatteo; Adrian C. Ravenscroft, residing at: 22 Woburn Street, Andover, MA 01810; 128 Pollard Road, Mountain Lakes, NJ 07046; 176A Main Street, Maynard, MA 01754; 33 Buckingham Road, Milton, MA 02186, have invented and own the entire United States right, title and interest in an invention for

FLEXIBLE METAL WIRE STENT

disclosed in my application for United States Letter Patent filed

☐ concurrently herewith
☒ on October 1, 1997 and assigned Serial No. 08/942,162; and

I hereby authorize and request any attorney of Vidas, Arrett & Steinkraus, P.A., Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9131, to insert the filing date and application number of said application above when known.

WHEREAS, Boston Scientific Corporation ("Assignee"), a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having its principal place of business at One Boston Scientific Place, Natick, MA 01760-1537, is desirous of acquiring the entire right, title, and interest in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon;

NOW, THEREFORE, Be It Known that for good and valuable consideration, the receipt of all of which is hereby acknowledged, I(we) hereby sell, assign, and transfer unto Assignee, its successors, and assigns, the entire right, title and interest, legal and equitable, in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon; and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent and all Design Letters Patent which may be granted to said invention to Assignee.

Dated: 3/23/98

First Inventor's Signature:
 First Inventor's Name:

Kurt Ballou
 Kurt Ballou

Dated: _____

Second Inventor's Signature:
 Second Inventor's Name:

 George T. Roberts

Dated: _____

Third Inventor's Signature:
 Third Inventor's Name:

 Kristian J. DiMatteo

Dated: _____

Fourth Inventor's Signature:
 Fourth Inventor's Name:

 Adrian C. Ravenscroft

UTILITY/DESIGN PATENT

Docket No. S63.2-6072

ASSIGNMENT

WHEREAS, I(we), Kurt Ballou; George T. Roberts; Kristian J. DiMatteo; Adrian C. Ravenscroft, residing at: 22 Woburn Street, Andover, MA 01810; 128 Pollard Road, Mountain Lakes, NJ 07046; 176A Main Street, Maynard, MA 01754; 33 Buckingham Road, Milton, MA 02186, have invented and own the entire United States right, title and interest in an invention for

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Dated: _____

First Inventor's Signature:

First Inventor's Name:

Kurt Ballou

Dated: 3/30/98

Second Inventor's Signature:

Second Inventor's Name:

George T. Roberts

Dated: _____

Third Inventor's Signature:

Third Inventor's Name:

Kristian J. DiMatteo

Dated: _____

Fourth Inventor's Signature:

Fourth Inventor's Name:

Adrian C. Ravenscroft

UTILITY/DESIGN PATENT

Docket No. S63.2-6072

ASSIGNMENT

WHEREAS, I(we), Kurt Ballou; George T. Roberts; Kristian J. DiMatteo; Adrian C. Ravenscroft, residing at: 22 Woburn Street, Andover, MA 01810; 128 Pollard Road, Mountain Lakes, NJ 07046; 176A Main Street, Maynard, MA 01754; 33 Buckingham Road, Milton, MA 02186, have invented and own the entire United States right, title and interest in an invention for

FLEXIBLE METAL WIRE STENT

disclosed in my application for United States Letter Patent filed

☐ concurrently herewith
☒ on October 1, 1997 and assigned Serial No. 08/942,162; and

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NOW, THEREFORE, Be It Known that for good and valuable consideration, the receipt of all of which is hereby acknowledged, I(we) hereby sell, assign, and transfer unto Assignee, its successors, and assigns, the entire right, title and interest, legal and equitable, in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon; and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent and all Design Letters Patent which may be granted to said invention to Assignee.

Dated: _____

First Inventor's Signature: _____

First Inventor's Name: _____

Kurt Ballou

Dated: _____

Second Inventor's Signature: _____


Second Inventor's Name: _____

George T. Roberts

Dated: 3-23-98

Third Inventor's Signature: _____

Third Inventor's Name: _____


Kristian J. DiMatteo

Dated: _____

Fourth Inventor's Signature: _____

Fourth Inventor's Name: _____

Adrian C. Ravenscroft

UTILITY/DESIGN PATENT

Docket No. S63.2-6072

ASSIGNMENT

WHEREAS, I(we), Kurt Ballou; George T. Roberts; Kristian J. DiMatteo; Adrian C. Ravenscroft, residing at: 22 Woburn Street, Andover, MA 01810; 128 Pollard Road, Mountain Lakes, NJ 07046; 176A Main Street, Maynard, MA 01754; 33 Buckingham Road, Milton, MA 02186, have invented and own the entire United States right, title and interest in an invention for

FLEXIBLE METAL WIRE STENT

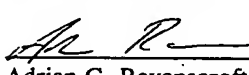
disclosed in my application for United States Letter Patent filed

☐ concurrently herewith
☒ on October 1, 1997 and assigned Serial No. 08/942,162; and

I hereby authorize and request any attorney of Vidas, Arrett & Steinkraus, P.A., Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9131, to insert the filing date and application number of said application above when known.

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NOW, THEREFORE, Be It Known that for good and valuable consideration, the receipt of all of which is hereby acknowledged, I(we) hereby sell, assign, and transfer unto Assignee, its successors, and assigns, the entire right, title and interest, legal and equitable, in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon; and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent and all Design Letters Patent which may be granted to said invention to Assignee.

Dated: _____	First Inventor's Signature: _____ First Inventor's Name: Kurt Ballou
Dated: _____	Second Inventor's Signature: _____ Second Inventor's Name: George T. Roberts
Dated: _____	Third Inventor's Signature: _____ Third Inventor's Name: Kristian J. DiMatteo
Dated: <u>3/24/98</u>	Fourth Inventor's Signature:  Fourth Inventor's Name: Adrian C. Ravenscroft

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

04/13/98 1586 U.S. TO

Inventor(s):	Kurt Ballou; George T. Roberts; Kristian J. DiMatteo; Adrian C. Ravenscroft
Title:	FLEXIBLE METAL WIRE STENT
Filed:	<input type="checkbox"/> concurrently herewith <input checked="" type="checkbox"/> on October 1, 1997 and assigned Serial No. 08/942,162

Certificate Under 37 CFR 3.73(b)

Boston Scientific Corporation, a corporation
(name of assignee) (e.g., corporation, partnership, university, government agency etc)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of:

A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel *, Frame *, or a copy thereof is enclosed for recordation.

OR

B. [] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From : * To: *
The document was recorded in the Patent and Trademark Office at Reel *, Frame *, or for which a copy thereof is attached.
2. From : * To: *
The document was recorded in the Patent and Trademark Office at Reel *, Frame *, or for which a copy thereof is attached.
3. From : * To: *
The document was recorded in the Patent and Trademark Office at Reel *, Frame *, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

[] Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee.

Date: 4/7/98

Name: Oliver F. Arrett

Signature: *Oliver F. Arrett*

04/13/98
Jc586 U.S. PTO

UTILITY/DESIGN PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kurt Ballou, George T. Roberts; Kristian J. DiMatteo; Adrian C. Ravenscroft

Title: FLEXIBLE METAL WIRE STENT

Filed: ☐ concurrently herewith
☒ on October 1, 1997 and assigned Serial No. 08/942,162

Assistant Commissioner for Patents
Washington, DC 20231

Docket No: S63.2-6072

POWER OF ATTORNEY FROM ASSIGNEE

As assignee of record of the entire interest of the above identified patent application, **Boston Scientific Corporation** hereby appoints the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Oliver F. Arrett	Reg. No. 22,117
Scott Q. Vidas	Reg. No. 30,812
Walter J. Steinkraus	Reg. No. 29,592
Richard A. Arrett	Reg. No. 33,153
Robert O. Vidas	Reg. No. 20,164
Leoniede M. Brennan	Reg. No. 35,832
Jane H. Arrett	Reg. No. 33,355
William E. Anderson II	Reg. No. 37,766

all of Vidas, Arrett & Steinkraus, P.A., Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9131, Telephone: (612) 563-3000, Facsimile (612) 563-3001, and hereby authorizes them to act and rely on instructions from, and to communicate directly with, the firm or person which sent this case to Vidas, Arrett & Steinkraus, P.A., unless or until it instructs Vidas, Arrett & Steinkraus P.A., in writing to the contrary.

Dated this 16th day of March, 1998.

(Company Name)

Boston Scientific Corporation

(Signature)

By 

(typed name)

Luke Dohmen

(title)

Its: Sr. Patent Attorney